

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jill A. Williams, et al

By the Examiner:

Serial No.: 09/980,895

Filed: December 3, 2001

International Serial No.: PCT/GB00/02133

International Filing Date: June 2, 2000

Group Art Unit:

Title: METHOD AND APPARATUS FOR  
FILLING A DENTAL ROOT CANAL

Box PCT

Commissioner for Patents

United States Patent and Trademark Office

Washington, D.C. 20231

## CERTIFICATE OF MAILING

Date of Mailing: July 8, 2002

I hereby certify that this correspondence is being deposited with the  
United States Postal Services as first class mail in an envelope  
addressed to:

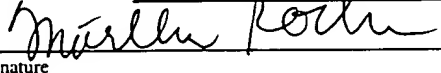
Box PCT

Commissioner for Patents,

United States Patent and Trademark Office

Washington, D.C. 20231

Type or Print Name Martha Rocha

  
Signature

Dear Sir:

**RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE**

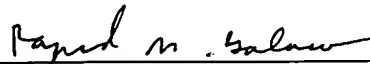
In response to Notification Of Defective Response mailed on June 7, 2002, Applicant responds by submitting another copy of the originally executed Declaration And Power of Attorney which specifically identifies the application by the International application number and International filing date which complies with 37 CFR 1.497(a) and (b) which was originally filed with this office on April 26, 2002 in Response to Notification of Missing Requirements Under 35 U.S.C. 371. Applicant also responds by authorizing the Commissioner to charge the total additional claim fee of \$239 to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259. These fees were authorized to be charged to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259 on April 26, 2002. In checking the Deposit Account Statement for the month of April 2002, the records indicate said fees were available on said date.

Applicant has properly responded in a timely manner to the Notification of Defective Response and respectfully requests this office to contact the undersigned when said fees are to be charged to Simon, Galasso & Frantz PLC Deposit account.

RESPECTFULLY SUBMITTED,

Jill A. Williams et al.

By:



Raymond M. Galasso

Reg. No. 37,832

SIMON, GALASSO &amp; FRANTZ PLC

P.O. BOX 26503

AUSTIN, TEXAS 78755-0503

TELEPHONE: (512) 372-8240

FACSIMILE: (512) 372-8247



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,895	Denfotex	DLT 00002

INTERNATIONAL APPLICATION NO.
-------------------------------

PCT/GB00/02133

I.A. FILING DATE	PRIORITY DATE
06/02/2000	06/04/1999

 Simon Galasso & Frantz  
 PO Box 26503  
 Austin, TX 78755-0503

SIMON GALASSO

JUN 17 2002

&amp; FRANTZ PLC

CONFIRMATION NO. 1554

371 FORMALITIES LETTER



\*OC000000008250544\*

Date Mailed: 06/07/2002

## NOTIFICATION OF DEFECTIVE RESPONSE

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fee
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

DOCKETED	
Date:	6/26/02
By:	NR

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - insufficient funds(no fees paid)

**Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements, whichever is the longer. No extension of this time limit may be granted under 37 CFR 1.136, but the period for response set in the Notification of Missing Requirements may be extended under 37 CFR 1.136(a).**

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice **MUST** be returned with the response.

PATRICIA A BOOKER

Telephone: (703) 305-3738

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
09/980,895	PCT/GB00/02133	DLT 00002

FORM PCT/DO/EO/916 (371 Formalities Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box 901  
United States Patent and Trademark Office  
Washington, D.C. 20233  
www.uspto.gov

PCT

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/980,895	Jill Ann Williams	DLT 00002

Raymond M Galasso  
Simon Galasso & Frantz  
PO Box 26503  
Austin, TX 78755-0503



Copy

INTERNATIONAL APPLICATION NO.	
PCT/GB00/02133	
I.A. FILING DATE	PRIORITY DATE
06/02/2000	06/04/1999

CONFIRMATION NO. 1554

371 ACCEPTANCE LETTER



\*OC000000009389217\*

Date Mailed: 01/28/2003

### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.494 OR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as an Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

07/15/2002  
DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and  
(c)(4) REQUIREMENTS

07/15/2002  
DATE OF RECEIPT OF ALL 35 U.S.C.  
REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- U.S. Basic National Fee
- Indication of Small Entity Status
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Information Disclosure Statements
- Oath or Declaration
- Preliminary Amendments
- Small Entity Statement

RECEIVED  
DEC 03 2003  
TECHNOLOGY CENTER R3700

\*The following defects have been observed:

- Additional claim fees of \$239 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

**SUMMARY OF FEES DUE:**

Total additional fee(s) for this application is \$239 for a small entity.

- Total additional claim fee(s) for this application is **\$239**
  - **\$99** for total claims over 20.
  - **\$140** for multiple dependant claims surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

---

PATRICIA A BOOKER  
Telephone: (703) 305-3738

**PART 1 - ATTORNEY/APPLICANT COPY**

FORM PCT/DO/EO/903 (371 Acceptance Notice)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jill A. Williams, et al

By the Examiner:

0120 Rec'd PCT/PTO 08 AUG 2003

Serial No.: 09/980,895

Filed: December 3, 2001

International Serial No.: PCT/GB00/02133

International Filing Date: June 2, 2000

Title: METHOD AND APPARATUS FOR  
FILLING A DENTAL ROOT CANAL

Group Art Unit:

RECEIVED  
DEC 03 2003  
TECHNOLOGY CENTER R3700Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

## CERTIFICATE OF MAILING

Date of Mailing: August 4, 2003

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

Type or Print Name Martha Rocha

*Martha Rocha*

Signature

Dear Sir/Madame:

## TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified application for U.S. National patent are the following documents:

1. Response to Notice of Acceptance of Application Under 35 USC 371 and 37 CFR 1.494 or 1.495;
2. Copy of Notice of Acceptance of Application Under 35 USC 371 and 37 CFR 1.494 or 1.495;
3. Copy of Response to Notification of Defective Response originally filed July 8, 2002; and
4. Confirmation Postcard. Please file-stamp and return.

The Commissioner is hereby authorized to credit any overpayment, or charge any underpayment associated with this communication to Simon, Galasso &amp; Frantz PLC Deposit Account No. 50-1259.

Respectfully submitted,  
Jill A. Williams et al.

By:

*Raymond M. Galasso*Raymond M. Galasso  
Reg. No. 37,832SIMON, GALASSO & FRANTZ PLC  
P.O. BOX 26503  
AUSTIN, TEXAS 78755-0503  
TELEPHONE: (512) 372-8240  
FACSIMILE: (512) 372-8247

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jill A. Williams, et al

By the Examiner:

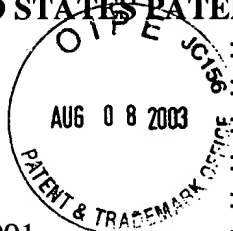
Serial No.: 09/980,895

Group Art Unit:

Filed: December 3, 2001

International Serial No.: PCT/GB00/02133

International Filing Date: June 2, 2000

Title: METHOD AND APPARATUS FOR  
FILLING A DENTAL ROOT CANAL

RECEIVED  
DEC 03 2003  
TECHNOLOGY CENTER R3700

Commissioner of Patents and Trademarks  
P. O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING	
Date of Mailing:	August 4, 2003
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:	
Commissioner of Patents and Trademarks P. O. Box 1450 Alexandria, VA 22313-1450	
Type or Print Name	Martha Rocha
<i>Martha Rocha</i>	
Signature	

Dear Sir/Madame:

**RESPONSE TO NOTICE OF ACCEPTANCE OF APPLICATION UNDER  
35 U.S.C. 371 AND 37 CFR 1.494 or 1.495**

In response to Notice of Acceptance of Application Under 35 U.S.C. 371 and 37 CFR 1.494 or 1.495 dated January 28, 2003, Applicant responds by paying the additional claims fee of \$239.00. Applicant responded to a Notification of Defective Response on July 8, 2002 (copy enclosed) wherein Applicant responded by paying the additional claims fee of \$239 by way of authorization to charge Simon, Galasso & Frantz PLC Deposit Account No. 50-1259.

Applicant responded in a timely manner to pay the additional claims fee as evidenced by Response filed by Applicant on July 8, 2002.

The Commissioner is once more authorized to charge the fees associated with this communication to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259 under Reference Number 1495. DLTD00002.

Applicant has properly responded in a timely manner to the Notification and requests this application to move forward.

Respectfully submitted,  
Jill A. Williams et al.

By: *Raymond M. Galasso*  
Raymond M. Galasso  
Reg. No. 37,832

SIMON, GALASSO & FRANTZ PLC  
P.O. BOX 26503  
AUSTIN, TEXAS 78755-0503  
TELEPHONE: (512) 372-8240  
FACSIMILE: (512) 372-8247

1495.DLTD00002

July 8, 2002

DLTD00002 (1495-006)

RMG/mr

Date Mailed

In re patent application of: Jill A. Williams, et al

For: METHOD AND APPARATUS FOR FILLING A DENTAL ROOT  
CANAL

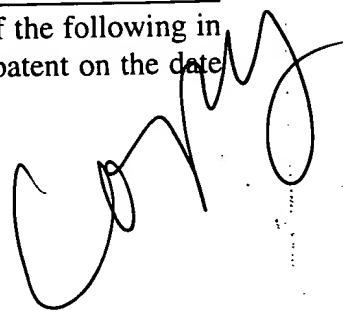
U.S. Serial No: 09/980,895

Filing Date: December 3, 2001

International No: PCT/GB00/02133

I.A. Filing Date: June 2, 2000

Receipt is hereby acknowledged in the USPTO/PCT division of the following in connection with the above referenced National application for patent on the date stamped thereon:

- 1) Transmittal Letter ;
  - 2) Response To Notification of Defective Response;
  - 3) Copy of Notification of Defective Response;
  - 4) Copy of originally filed Declaration; and
  - 5) Confirmation Postcard.
- 

525 Rec'd PCT/PTC 15 JUL 2002



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:  
Jill A. Williams, et al

By the Examiner:

Serial No.: 09/980,895  
Filed: December 3, 2001

Group Art Unit:

International Serial No: PCT/GB00/02133  
International Filing Date: June 2, 2000

Title: METHOD AND APPARATUS FOR  
FILLING A DENTAL ROOT CANAL

Box PCT  
Commissioner for Patents  
United States Patent and Trademark Office  
Washington, D.C. 20231

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Date of Mailing: July 8, 2002

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United States Postal Services as first class mail in an envelope  
addressed to:

Box PCT  
Commissioner for Patents.  
United States Patent and Trademark Office  
Washington, D.C. 20231

Type or Print Name Martha Rocha

*Martha Rocha*  
Signature

Dear Sir:

## TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified National application for patent are the  
following documents:

1. Transmittal Letter;
2. Response To Notification Of Defective Response;
3. Copy of Notification Of Notification of Defective Response;
4. Copy of originally filed Declaration;
5. Confirmation Postcard.

The Commissioner is hereby authorized to charge the fees associated with this communication  
or credit any overpayment to Simon, Galasso & Frantz PLC Deposit Account No. 50-1259.

RESPECTFULLY SUBMITTED,  
Jill A. Williams et al.

By: *Raymond M. Galasso*  
Raymond M. Galasso  
Reg. No. 37,832

Simon, Galasso & Frantz PLC  
P.O. Box 26503  
Austin, Texas 78755-0503  
Telephone: (512) 372-8240  
Facsimile: (512) 372-8247